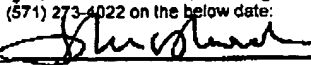


CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8		
I hereby certify that this correspondence, totaling <u>9</u> pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: (571) 273-4022 on the below date:		
Date: January 17, 2007	Name: John G. Baych	Signature: 

Our Case No. 9623/378**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
Paine, Mark et al.	)	
Serial No. 10/020,712	)	Examiner: Leroux, Etienne Pierre
Filing Date: December 11, 2001	)	Group Art Unit No. 2161
For RECOMMENDING SEARCH	)	
TERMS USING COLLABORATIVE	)	
FILTERING AND WEB SPIDERING	)	

**PRELIMINARY AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the telephone conversation between the Examiner and Applicant's attorney on January 9, 2007, please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.

<b>CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8</b> I hereby certify that this correspondence, totaling <u>9</u> pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: (571) 273-4022 on the below date: Date: <u>January 17, 2007</u> Name: <u>John G. Rauch</u> Signature: <u>[Signature]</u>		
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**BRINKS  
HOFFER  
GILSON  
& LIONE**

# **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Paine, Mark et al.

Appln. No.: 10/020,712

Filed: December 11, 2001

For: **RECOMMENDING SEARCH TERMS  
USING COLLABORATIVE FILTERING  
AND WEB SPIDERING**

Examiner: Leroux, Etienne  
Pierre

Art Unit: 2161

Attorney Docket No: 9623/378

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## **TRANSMITTAL**

### **Attached Is/are:**

- ☒ Preliminary Amendment (8 pages)  
☐ Return Receipt Postcard.

### **Fee calculation:**

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	19	Minus	20	0	x \$25=			x \$50=	0
Indep.	3	Minus	3	0	x \$100=			x \$200=	0
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	0
					Total	\$		Total	\$0

### **Fee payment**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

January 17, 2007  
Date

[Signature]  
John G. Rauch (Reg. No. 37,218)

**BRINKS  
HOFFER**

**BRINKS HOFFER GILSON & LIONE**

NRC Tower - Suite 3600 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599